

FOR OFFICIAL USE ONLY
DON SAP ADJUDICATION WORKSHEET

1. Full Name of Nominee: Hoffman, Fred Paul		2. SSN:		3. Date PAR Received:			
4. Requested Trigraph(s): CEP		5. Requested Sensitivity Level:		6. Current Sensitivity Level:			
7. Current Sensitivity Level Adjudicated By/Date:		8. Signature Date of SF-86/Update: Mar 5, 2012		9. Clearance Eligibility in DCII/JPAS: SCI			
10. Clearance Granted by: DONCAF		11. Clearance Date in DCII/JPAS:		12. Investigation Type/Date in DCII/SII/JPAS: SBPR 19 Aug 10			
13. Investigation Case Category Code:		14. Date DCII/SII/JPAS Checked:		15. Update NACLIC/SSBI Initiated:			
16. <input type="checkbox"/> Access Approved under Reciprocity <input type="checkbox"/> Access Disapproved under Reciprocity <input type="checkbox"/> No Reciprocity - Adjudicate Request							
17. Issues: <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> Allegiance to the United States <input checked="" type="checkbox"/> Foreign Influence <input type="checkbox"/> Foreign Preference <input type="checkbox"/> Foreign Travel <input type="checkbox"/> Sexual Behavior <input type="checkbox"/> Personal Conduct <input type="checkbox"/> Financial Consideration <input type="checkbox"/> Alcohol Consumption </td> <td style="width: 50%; vertical-align: top;"> <input type="checkbox"/> Drug Involvement <input type="checkbox"/> Emotional, Mental, and Personality Disorders <input type="checkbox"/> Criminal Conduct <input type="checkbox"/> Security Violations <input type="checkbox"/> Outside Activities <input type="checkbox"/> Misuse of Information Technology Systems <input type="checkbox"/> Outdated Investigation <input type="checkbox"/> None </td> </tr> </table>						<input type="checkbox"/> Allegiance to the United States <input checked="" type="checkbox"/> Foreign Influence <input type="checkbox"/> Foreign Preference <input type="checkbox"/> Foreign Travel <input type="checkbox"/> Sexual Behavior <input type="checkbox"/> Personal Conduct <input type="checkbox"/> Financial Consideration <input type="checkbox"/> Alcohol Consumption	<input type="checkbox"/> Drug Involvement <input type="checkbox"/> Emotional, Mental, and Personality Disorders <input type="checkbox"/> Criminal Conduct <input type="checkbox"/> Security Violations <input type="checkbox"/> Outside Activities <input type="checkbox"/> Misuse of Information Technology Systems <input type="checkbox"/> Outdated Investigation <input type="checkbox"/> None
<input type="checkbox"/> Allegiance to the United States <input checked="" type="checkbox"/> Foreign Influence <input type="checkbox"/> Foreign Preference <input type="checkbox"/> Foreign Travel <input type="checkbox"/> Sexual Behavior <input type="checkbox"/> Personal Conduct <input type="checkbox"/> Financial Consideration <input type="checkbox"/> Alcohol Consumption	<input type="checkbox"/> Drug Involvement <input type="checkbox"/> Emotional, Mental, and Personality Disorders <input type="checkbox"/> Criminal Conduct <input type="checkbox"/> Security Violations <input type="checkbox"/> Outside Activities <input type="checkbox"/> Misuse of Information Technology Systems <input type="checkbox"/> Outdated Investigation <input type="checkbox"/> None						
18. Date Compelling Need Statement Req'd:		19. Date Compel. Need Statement Rec'd:		20. Date Add'l Info Requested:			
21. Date Additional Info Received:		22. Date ROI Ordered:		23. Date ROI Received:			
24. Date CISP Recommended:		25. Date CISP Exam Completed:					

26. First Tier Review: (Signature and Date) (b) (6)	<input type="checkbox"/> APPROVE <input type="checkbox"/> REVIEW REQUIRED <input type="checkbox"/> CANCEL
27. Second Tier Review: (Signature and Date) _____	<input type="checkbox"/> APPROVE <input type="checkbox"/> APPROVE w/Exception <input type="checkbox"/> RECOMMEND DENIAL <input type="checkbox"/> CANCEL
28. Third Tier Review: (Signature and Date) _____	<input type="checkbox"/> APPROVE <input type="checkbox"/> APPROVE w/Exception <input type="checkbox"/> INTENT TO DENY <input type="checkbox"/> CANCEL
29. PSO notified Program Manager of Nominee's Ineligibility: (Signature and Date) _____	

30. Remarks: Subject provide SAPFORM 20's for the following:	
(b) (6) citizenship: Russian, Federation, wife's ex-husband (b) (6) citizenship: Ukraine, daughter (b) (6) citizenship: Federal Republic of Germany, Wife of biological father (deceased) (b) (6) citizenship: Federal Republic of Germany, uncle now in a nursing home (b) (6) citizenship: Federal Republic of Germany, aunt (b) (6) citizenship: Federal Republic of Germany, first cousin (b) (6) citizenship: Federal Republic of Germany, wife of first cousin (b) (6) (b) (6) citizenship: Federal Republic of Germany, first cousin (b) (6) citizenship: Federal Republic of Germany, wife of first cousin (b) (6) (b) (6) citizenship: Federal Republic of Germany, son of first cousin (b) (6) (b) (6) citizenship: Federal Republic of Germany, daughter of first cousin (hans-Peter Z.) (b) (6) citizenship: Federal Republic of Germany, husband of his first cousin (b) (6) citizenship: Federal Republic of Germany, cousin	
(Continue remarks on separate sheet of paper)	

"DON SAP Adjudication Worksheet Format", 15 Oct 2004

Warning: Information contained is protected by the Privacy Act of 1974, U.S.C. 3013, Executive Orders 10450 and 12958

FOR OFFICIAL USE ONLY

0-0

UNCLASSIFIED/FOR OFFICIAL USE ONLY - Privacy Sensitive. Any misuse or unauthorized disclosure may result in both civil and criminal penalties.,CAUTION - PROPRIETARY INFORMATION INVOLVED
CONTINUATION SHEET FOR HOFFMAN, FRED PAUL

(b) (6) citizenship: Federal Republic of Germany, son of his first cousin
(b) (6) citizenship: Russian Federation, father-in-law
(b) (6) citizenship: Russian Federation, wife of father-in-law
(b) (6) citizenship: Russian Federation, mother-in-law
(b) (6) citizenship: Russian Federation, husband of mother-in-law

UNCLASSIFIED/FOUO

27 February 2011

MEMORANDUM FOR RECORD

SUBJECT: Attachment to Foreign Relative or Associate Interview form (U)

RE: (b) (6) (U/FOUO)

1. (U/FOUO) The information provided in this memorandum concerns my interactions with Mr. (b) (6) a citizen of the Russian Federation and U.S. Permanent Resident.

- a. (U/FOUO) Full Legal Name. (b) (6)
- b. (U/FOUO) Previous Name. (b) (6) (name at birth, also name in RUS passport)
- c. (U/FOUO) (b) (6)
- d. (U/FOUO) (b) (6)
- e. (U/FOUO) U.S. INS #.
- f. (U/FOUO) RUS passport #.

2. (U) Circumstances of Contact.

a. (U/FOUO) Mr. (b) (6), a citizen of the Russian Federation and U.S. permanent resident, is an unemployed alcoholic who since 16 February 2012 has been temporarily residing with my wife and our family. My wife and I are currently in the process of trying to make arrangements for Mr. (b) (6) to live elsewhere.

b. (U/FOUO) Mr. (b) (6) was previously married to my wife, (b) (6). Together with their young son (b) (6), they emigrated from the former Soviet Union to the United States in 1991 (see newspaper article, attached). During the mid-1990s, (b) (6) and (b) (6) separated, and Mr. (b) (6) left New York State. The Jacobys later divorced, and Mr. (b) (6) relocated to Florida. When I met my wife in December of 2001, she and (b) (6) were still residing in New York State, and Mr. (b) (6) was residing in Miami, Florida. I never met Mr. (b) (6) until January of 2011, when he came to our home in Columbia, MD and stayed with us for about a month before departing permanently (or so my wife and I thought) for Russia in early February 2011.

c. (U/FOUO) On 16 February, my wife and I received a knock at the door in the middle of the night. We were quite surprised to discover that the person standing there was Mr. (b) (6). He appeared disoriented and in poor health. He told us he had just flown in from Moscow and was en route to Miami, where he said he planned to resume residency. He had less than USD \$1,000 on his person, no airline or other ticket for follow-on travel to Miami, and just some clothing and toiletry items with him. He explained that he had just arrived in the U.S. that day (16 February), and said he had been delayed by Immigration officials who questioned him about his prior Driving While Intoxicated (DWI) conviction in Florida before allowing him to enter the country on his

UNCLASSIFIED/FOUO

green card. Because it was the middle of the night and he had no place else to go, my wife and I let him in.

d. (U/FOUO) My wife and I are surprised that Mr. (b) (6) has managed to return to the United States at all. According to my wife, Mr. (b) (6) has had a total of three separate DWI incidents in the United States between 1991 and 2011: The first was in New York State during the mid-1990s, the second in Ohio in the late 1990s, and a third in the state of Florida during the mid-2000's. In recent conversation with me, Mr. (b) (6) has admitted to all three of these DWI incidents.

e. (U/FOUO) The reason my wife left Mr. (b) (6) during the early 1990s was because he had a severe drinking problem. Prior to 2011, there have been a number of instances where my wife has had to provide Mr. (b) (6) with money to pay his bills, to pay for his rehab, or to pay other people to whom Mr. (b) (6) owed money. In late 2009, Mr. (b) (6) became homeless and was living on the streets in Miami. My wife and I made arrangements with a Salvation Army rehab facility in San Diego to take Mr. (b) (6) in, and paid his transportation costs so he could relocate from Miami to San Diego. Later in 2010, my wife succeeded in persuading Mr. (b) (6) that it would be best for him to return to Moscow, where he could at least reside with his elderly mother and have a roof over his head. We purchased an airline ticket for him to fly back to Russia in early February 2011. Because he was homeless, we allowed him to live with us until the day of his departure.

f. (U/FOUO) The reason my wife and I have continued to render assistance to Mr. (b) (6) over the years, and agreed to take him in when he appeared without warning at our doorstep on 16 February 2012, is because Mr. (b) (6) is the biological father of my stepson, (b) (6).

g. (U/FOUO) My wife and I are currently trying to find a long-term solution to this problem. We are exploring options for him to enter rehab in the local area. Having Mr. (b) (6) reside with us is not an acceptable, long-term solution for anyone in my family. We are allowing him to continue to reside with us only until such a time as we can find alternative living arrangements for him – wherever that may be.

h. (U/FOUO) From a security perspective, I would like to emphasize the following:

(1) (U/FOUO) My entire history of contact with Mr. (b) (6) has been limited to the two periods identified in this document: The first, a period of approx four weeks from early JAN to early FEB 11, when he resided at our home prior to relocating back to Russia; and the second, which began on 16 February 2012 and continues to the present day.

(2) (U/FOUO) Mr. (b) (6) has never asked me, my wife, or anyone else in our family about my employment, or about my profession.

UNCLASSIFIED/FOUO

(3) (U/FOUO) I do not regard Mr. (b) (6) as a threat of any kind, either from a security or safety point of view. Mr. (b) (6) appears to be a *functioning alcoholic*. (Unfortunately, I have had some experience dealing with functioning alcoholics in the past.)

i. (U/FOUO) As stated above, it is not my intention to remain in contact with Mr. (b) (6) after he leaves our home to enter rehab or to permanently relocate somewhere else.

3. (U) Both my wife and I are available for contact to discuss this situation.


FRED P. HOFFMAN

SUBJECT ACKNOWLEDGEMENT

1. (b) (6) and I met online in early December 2001; at that time, both of us were subscribers to the *Match.com* online dating service. I saw her online profile and e-mailed her, and she responded. We subsequently exchanged e-mails, participated in instant messaging (IM) with one another, spoke on the phone, and in mid-December 2001 I flew up to Albany, NY to meet her.
2. When (b) (6) and I first met in December, 2001, she resided in Albany, New York and I resided in Columbia, MD. For approximately half a year, we had a "long distance relationship" that consisted of exchanging e-mail, IMs, daily phone calls, and visits to one another (either in Albany or Columbia) every 2-3 weekends. In June 2002, (b) (6) and her son (b) (6) moved into my house in Columbia, MD. Lana and I have lived together, with her son Philip (now 17) and my daughter (b) (6) (now 14) since June 2002. We got engaged in December 2003, and plan to get married sometime this summer. No specific wedding date has been set.
3. (b) (6) and I were dating from December 2001 until June 2002, when she and her son moved in with my daughter and me. We had a committed relationship from when we met until we got engaged in December 2003. (b) (6) and I are both currently divorced; we each have custody of one child from our respective, previous marriages.
4. (b) (6) has no siblings or step-brothers or step-sisters. (b) (6) immediate family consists of her parents (named below), who divorced when (b) (6) was approximately 18 years old. Both of (b) (6) parents subsequently remarried, but neither had any additional children.
 - a. (b) (6) mother is (b) (6) in Moscow, Russia. Her current residence is Vavilov Street 28, Moscow 119991, Russia.
 - (1) *Personal contact.* I first met (b) (6) in July 2002, when I came to Moscow to spend a week with (b) (6) and her family. I met (b) (6) a second time in mid-December 2003, when she spent a week at our home in Columbia, MD after she attended a conference at the University of Wisconsin.
 - (2) *Correspondence.* I infrequently communicate with (b) (6) via e-mail; the purpose of the e-mail contact is to discuss family matters.
 - b. (b) (6) father is (b) (6) in Moscow, Russia. He currently resides in Moscow. He is employed at the All-Russian Institute of Scientific and Technical Information (VINITI), (b) (6).
 - (1) *Personal contact.* I first met (b) (6) in July 2002, when I came to Moscow to spend a week with (b) (6) and her family. I have not had any personal contact with him since then.

(2) *Correspondence.* I have not had any personal contact with (b) (6) since July 2002.

5. Neither (b) (6) nor I have any foreign national grandparents, aunts, uncles, or cousins employed by a foreign government.

6. (b) (6) visits her parents approximately once every 12-24 months for a period of approximately two to three weeks. To date, the majority of these trips have been for the purpose of sustaining contact between herself, her son (b) (6), and her parents (b) (6) grandparents). Other than these visits, (b) (6) communication with her parents is largely limited to infrequent phone calls and e-mail exchanges. Due to my limited interaction with them, I do not know (b) (6) parents very well. I do not have any feeling of obligation towards them; my feelings for them are basically those which any normal person would feel towards their prospective in-laws, especially given the distance and lack of interaction.

7. Other than being a registered voter in the state of Maryland, Lana is not politically active in the United States, and was not politically active during the period of her life when she resided in the former Soviet Union. During the 1970s and 1980s, (b) (6) parents were active in the dissident movement, but since the fall of the former Soviet regime, they have not been involved in politics. During the Soviet era, ethnicity was listed in one's passport, i.e., "Russian," "Kazakh," "Jew." Although neither (b) (6) nor her parents are very religious, in 1991 the former Soviet government permitted Lana and her son (b) (6) to emigrate to the United States in 1991 because (b) (6) and her parents are ethnic Jews.

8. Neither (b) (6), her son (b) (6) nor any member of (b) (6) family has ever asked me about the nature of my employment, my day-to-day duties and responsibilities, or about classified information.

9. (b) (6) and her son (b) (6) obtained U.S. citizenship in 1997; neither of them holds dual citizenship in Russia or a third country (i.e., such as Israel). Since emigrating to the United States, (b) (6) obtained a degree in pharmacy – in addition to her previous masters degree in chemistry – and is now working as a pharmacist at Johns Hopkins University Hospital in Baltimore, MD. Although (b) (6) and her son periodically return to Russia to visit (b) (6) parents, (b) (6) and her son are both patriotic Americans who feel no sense of obligation or allegiance to the Russian government. In fact, (b) (6) is quite critical and distrustful of the Russian government.

10. (b) (6) has never been arrested for any reason, either during the time when she resided in the former Soviet or since emigrating to the United States in 1991. She has never voiced, or otherwise indicated, a desire to take illegal action in support of a social or political belief. (b) (6) has never been involved in any way with the intelligence or security organs of Russia, the former Soviet Union, or any third country.

11. I am not indebted financially, or in any other way, to any foreign national. Conversely, no foreign nationals are indebted in any way to me.

12. I was first granted a top secret clearance in 1987, and have continuously held that clearance in the years since. In June 2004, DIA favorably adjudicated my clearance prior to hiring me as a civilian employee. Although I left DIA as a civilian employee in March 2006, at which time I was read off SCI, I remain currently indoctrinated for SCI as an Army reserve officer assigned to DIA.

13. I acknowledge that marriage to a U.S. citizen whose parents are foreign nationals may adversely affect my current assignment, MOS/designator, security clearance, and/or access to classified information.

STANDARD FORM 86 CERTIFICATION (SF 86C)

INSTRUCTIONS: Type or legibly print your answers in ink (if this form is not legible, it will not be accepted). Complete this form referencing information contained in your most recent SF 86 or information disclosed upon the date of your last background investigation. All questions on this form must be answered. Any changes that you make to this form after you sign it must be initialed and dated by you. Under certain limited circumstances, agencies may modify your response(s) consistent with your intent. The United States Criminal Code (title 18, section 1001) provides that knowingly falsifying or concealing a material fact is a felony which may result in fines and/or up to 5 years of imprisonment.

Do not provide information you have already provided on your most recent SF 86. Any "Yes" responses under Block 2 must be explained in Block 3. If additional space is needed, use a blank sheet of paper. **Each blank sheet of paper you use must contain your name and SSN at the top of the page.** Conclude by **certifying** the accuracy of your answers in Block 4, Certification. If you have any questions, contact the office that gave you the form, or a Government security officer.

Block 1 - Identification			
Full name (last, first, middle, maiden) HOFFMAN, Fred Paul		Social Security Number (SSN)	
Place of birth (include City (Country) and State) Oceanside, NY			
Work telephone number (410) 865-1913	Home telephone number (410) 440-9183	E-mail fred.p.hoffman@gmail.co	

Block 2 - Questions from the SF 86

INSTRUCTIONS: The following Questions correlate with your SF 86. If you report **no change** to a Question, place an "X" in the **No** box. If there is a **change**, place an "X" in the **Yes** box. All **Yes** answers **must** be explained under Block 3, Explanations/Remarks.

Yes	No	
	✓	Question 1. Full Name
	✓	Question 4. Social Security Number
	✓	Question 5. Other Names Used
	✓	Question 9. Citizenship
	✓	Question 10. Citizenship Information
	✓	Question 11. Where You Have Lived
	✓	Question 12. Where You Went to School
	✓	Question 13. Employment Activities
	✓	Question 14. Selective Service Record
	✓	Question 15. Military History
	✓	Question 17. Marital Status
✓		Question 18. Relatives
✓		Question 19. Foreign Contacts
	✓	Question 20. Foreign Activities
	✓	Question 21. Mental and Emotional Health
	✓	Question 22. Police Record
	✓	Question 23. Use of Illegal Drugs and Drug Activity
	✓	Question 24. Use of Alcohol
	✓	Question 25. Investigations and Clearance Record
	✓	Question 26. Financial Record
	✓	Question 27. Use of Information Technology Systems
	✓	Question 28. Involvement in Non-Criminal Court Actions
	✓	Question 29. Association Record

STANDARD FORM 86 CERTIFICATION
(SF 86C)

Block 3 - Explanations/Remarks

INSTRUCTIONS: Before each answer, identify the SF 86 Question number associated with your answer. For example, if you have had a change of residence, write "Question 11" and list your new address.

18. Relatives: On 14 SEP 11, my wife (b) (6) returned from a trip to Ukraine with our new daughter, (b) (6), whom she adopted in Ukraine and brought back to the U.S. on an IN-4 visa. In January 2011, we will hire an attorney to initiate the paperwork for my wife and me to both adopt (b) (6) (whose name will upon U.S. adoption become (b) (6)). Although my wife and I jointly did the home study and other preparatory work for adoption, I did not accompany my wife on her trip to Ukraine.

19. Foreign Contacts: During 2011, I made two separate trips to Berlin, Germany to perform active military duty as an Army attache at USEMB Berlin. During the first trip (13 JUN-1 JUL 11), my mother-in-law (b) (6) and her husband (b) (6) visited with me in Berlin for five days. Both are Russian citizens residing and working in Moscow, Russia. During that same time period, I was also visited for one day by my first cousin, (b) (6), a German citizen residing in Frankfurt, Germany. (All of these relatives have been identified in previous SF 86s.) Between 31 OCT and 18 NOV 11, I was again in Berlin performing reserve attache duties. During my stay, my parents flew over from the States and we spend the weekend of 11-13 NOV 11 in Frankfurt, where we visited the following relatives (all previously identified in prior SF86s):

(b) (6)

(b) (6) Throughout 2011, I had aperiodic telephonic and/or e-mail communication with all of the aforementioned relatives. At no time did any of my relatives or in-laws ever express any interest whatsoever in my civilian or reserve duties.

☐ Check this block if additional comments are attached. Place your name and SSN at the top of each page.

☒ Check this block if your SF 86 is attached.

Block 4 - Certification

I certify that the above information includes all changes to my most recent SF 86 or since my last investigation. Changes, if any, are explained under Block 3. My statements on this form, and on any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both (18 U.S.C. 1001). I understand that intentionally withholding, misrepresenting, or falsifying information will have a negative affect on my security clearance, employment prospects, or job status up to and including denial or revocation of my security clearance, or my removal and debarment from Federal service.

Signature (Sign in Ink)

Paul Wolff

Date (mm/dd/yyyy)

12/15/2011

Enter your Social Security Number before going to the next page →

1016

SENSITIVE COMPARTMENTED INFORMATION NOMINEE
SCREENING INTERVIEW

PART I

NAME: Fred Paul Hoffman SSN: 105-52-6801

1. The purpose of this interview is to determine your initial acceptability for a position requiring access to Sensitive Compartmented Information (SCI). The interview is required by various Department of Defense (DoD) activities and is designed to eliminate from further consideration anyone obviously not qualified. This interview is of a personal nature. It gives you an opportunity to present additional pertinent information not reflected on your security forms.

2. The Privacy Act of 1974 requires that you be told the following:

- A. Executive Orders 10450 (Security Requirements for Government Employees) and 12065 (National Security Information) are the authorities for soliciting the information to be requested during the interview. Executive Order 9397 (Numbering System for Federal Accounts Related to Individual Persons) is also applicable since you are asked to provide your Social Security Number.
- B. You are advised of your rights under the Fifth Amendment to the U.S. Constitution/Article 31 of the Uniform Code of Military Justice. Disclosure of any information is voluntary; you need not answer any question. You may not be compelled to respond to a question should answering tend to incriminate you. You may consult an attorney. You may suspend or terminate the interview at any time. However, if you fail or refuse to provide complete and accurate answers to relevant questions, the nomination process will be terminated and you will not be eligible to fill a position requiring access to SCI.
- C. The routine use of information developed during this interview is to provide relevant personal history information to the people who will be evaluating your case.

3. Any information developed during this interview will be made available only to those officials involved in the processing of your nomination, those conducting the Single Scope Background Investigation, and those who adjudicate your case to determine your ultimate eligibility for access to SCI, or as otherwise authorized by Executive Order or statute.

4. The interviewer will determine your acceptability for nomination to duties requiring access to SCI using the selection criteria in Part II of this interview.

I understand the purpose of the interview. I have been advised of my rights as stated in paragraph B, above.

Signature



Date

26 MAR 08

SENSITIVE COMPARTMENTED INFORMATION NOMINEE SCREENING INTERVIEW

PART II

During this interview you will be requested to answer questions in a straightforward and, in many cases, explicit manner. Title 18, United States Code, Section 1001, makes it a criminal offense to make a willfully false statement or misrepresentation to any Department or Agency of the U.S., as to any matter within its jurisdiction. Any question that requires an explanation should be answered in detail on page six of this form.

- | | | | |
|----|--|--------------------------------------|-------------------------------------|
| 1 | Do you have any reason to believe anyone would question your loyalty to the U.S.? | YES | <input checked="" type="radio"/> NO |
| 2 | Are you now or have you ever been a member of any organization, or associated with or contributed to any movement or group that advocates the overthrow of the U.S. constitutional form of government? | YES | <input checked="" type="radio"/> NO |
| 3 | Have you ever participated in any public demonstration against U. S. policy (foreign or domestic) to include an anti-war protest? | YES | <input checked="" type="radio"/> NO |
| 4 | Have you ever engaged in or been approached to engage in espionage against the United States or one of its allies? | YES | <input checked="" type="radio"/> NO |
| 5 | Have you ever been approached to give or sell any government classified or unclassified material to persons not authorized to receive it? | YES | <input checked="" type="radio"/> NO |
| 6 | Have you ever had contact with representatives of a foreign government? | YES | <input checked="" type="radio"/> NO |
| 7 | Is any member of your immediate family not a U. S. citizen or hold dual citizenship with the U. S. and another country? (Immediate family members include spouse, cohabitant, parents, siblings and children.) | YES | <input checked="" type="radio"/> NO |
| 8 | Do you have any relatives or friends who are citizens of or reside in a foreign country? This includes people who are dual citizens with the U. S. and another country. | <input checked="" type="radio"/> YES | NO |
| 9 | Do you or any of your immediate family members have any foreign property or foreign financial interests? | YES | <input checked="" type="radio"/> NO |
| 10 | Do you maintain any association with any group or individual that represents any foreign government or interest? | YES | <input checked="" type="radio"/> NO |
| 11 | Are you now, or have you ever been a dual citizen with the U.S. and another country? | YES | <input checked="" type="radio"/> NO |
| 12 | Have you ever had a passport that was issued by a foreign government? | YES | <input checked="" type="radio"/> NO |

110

FOR OFFICIAL USE ONLY

- | | | | |
|----|--|-----|-------------------------------------|
| 13 | Have you ever served in a foreign military or are you willing to bear arms for a foreign country? | YES | <input checked="" type="radio"/> NO |
| 14 | Have you ever accepted benefits from a foreign country (for example: educational, medical, retirement or social welfare)? | YES | <input checked="" type="radio"/> NO |
| 15 | Have you ever engaged in deviant sexual behavior (for example: incest, bestiality, pedophilia, voyeurism, child pornography etc.)? | YES | <input checked="" type="radio"/> NO |
| 16 | Have you engaged in or been accused of child abuse? | YES | <input checked="" type="radio"/> NO |
| 17 | Do you participate in sexual behavior that could cause you to be vulnerable to coercion, exploitation, or duress? | YES | <input checked="" type="radio"/> NO |
| 18 | Since the age of 16 have you ever been suspended or expelled from any school or college? | YES | <input checked="" type="radio"/> NO |
| 19 | Have you ever been fired from a job or quit for fear of being dismissed? | YES | <input checked="" type="radio"/> NO |
| 20 | Do you associate or have a relationship with someone who has a criminal record; a known drug user; or participates in criminal activities? | YES | <input checked="" type="radio"/> NO |
| 21 | Are there any incidents in your background that might make you susceptible to blackmail or exploitation? Examples are: extra-marital affairs, undetected theft, fraud, embezzlement, etc.? | YES | <input checked="" type="radio"/> NO |
| 22 | Have you ever failed to meet your financial obligations? | YES | <input checked="" type="radio"/> NO |
| 23 | Have you ever filed for bankruptcy under Chapter 7 or 11? If so, when was the bankruptcy filed and what was the amount? If you answer YES to this question a copy of the bankruptcy paperwork is required. | YES | <input checked="" type="radio"/> NO |
| 24 | Have you ever petitioned for Chapter 13, Wage Earners Plan? When was the plan filed? What was the amount? If you answer YES to this question a copy of the bankruptcy paperwork is required. | YES | <input checked="" type="radio"/> NO |
| 25 | Have you ever had an account placed for collection or had check cashing privileges suspended or revoked? | YES | <input checked="" type="radio"/> NO |
| 26 | Do you have any reason to believe there is any unfavorable credit information about you on file with any credit bureau, business or court? Have you ever been 90 days delinquent on any debt? | YES | <input checked="" type="radio"/> NO |
| 27 | While gambling, have you ever won or lost large amounts of money or property? | YES | <input checked="" type="radio"/> NO |
| 28 | Have you ever had a tax lien placed against you for failing to pay local, state or federal taxes? | YES | <input checked="" type="radio"/> NO |

FOR OFFICIAL USE ONLY

FOR OFFICIAL USE ONLY

- | | | | |
|----|---|--------------------------------------|-------------------------------------|
| 29 | Have you ever had any judgments placed against you that have not been paid? | YES | <input checked="" type="radio"/> NO |
| 30 | Have you ever had your wages garnished for any reason? | YES | <input checked="" type="radio"/> NO |
| 31 | Have you ever had any property repossessed for any reason? | YES | <input checked="" type="radio"/> NO |
| 32 | Do you possess any unexplained affluence (abundance of wealth or property) that could be subject to scrutiny by investigators? | YES | <input checked="" type="radio"/> NO |
| 33 | Have you ever had an alcohol-related incident at work, such as reporting to work or duty in an intoxicated or impaired condition, or drinking on the job? | YES | <input checked="" type="radio"/> NO |
| 34 | Do you have a history of excessive or habitual use of alcohol, or have you ever had any alcohol-related arrests (Driving under the Influence or Driving While Intoxicated), incidents or treatment? | YES | <input checked="" type="radio"/> NO |
| 35 | Do you have a history of habitual or binge consumption of alcohol to the point of impaired judgment? | YES | <input checked="" type="radio"/> NO |
| 36 | Have you been diagnosed as being an alcoholic by a medical professional? | YES | <input checked="" type="radio"/> NO |
| 37 | Have you experimented with illegal drugs, including marijuana? If so, identify dates and describe the extent of your use or experimentation. What are your future intentions towards drug use? | <input checked="" type="radio"/> YES | NO |
| 38 | Have you ever grown, sold, supplied, or transferred illegal drugs including marijuana? | YES | <input checked="" type="radio"/> NO |
| 39 | Have you ever participated in a drug rehabilitation program? | YES | <input checked="" type="radio"/> NO |
| 40 | Have you ever misused or abused prescription drugs? | YES | <input checked="" type="radio"/> NO |
| 41 | Are you currently using or addicted to a controlled substance? | YES | <input checked="" type="radio"/> NO |
| 42 | It is Department of Defense policy that illegal drug use will normally result in denial or revocation of SCI eligibility. Do you have any questions about this policy? | YES | <input checked="" type="radio"/> NO |
| 43 | Do you have a history of nervous disorders or other emotional/mental problems? | YES | <input checked="" type="radio"/> NO |
| 44 | Have you ever suffered from anxiety, depression or stress for which you required treatment from a psychologist or psychiatrist? | YES | <input checked="" type="radio"/> NO |
| 45 | Have you ever consulted or been treated by a psychologist or psychiatrist for any other emotional or mental problems? | YES | <input checked="" type="radio"/> NO |

FOR OFFICIAL USE ONLY

FOR OFFICIAL USE ONLY

- | | | | |
|----|--|--------------------------------------|-------------------------------------|
| 46 | Have you ever been diagnosed as being mentally incompetent by a mental health professional? | YES | <input checked="" type="radio"/> NO |
| 47 | Have you ever been arrested, detained or charged with a criminal offense; had charges dropped; or been told no record would be made of an incident? | <input checked="" type="radio"/> YES | <input type="radio"/> NO |
| 48 | Have you ever had any minor infractions with the law, such as parking tickets, traffic tickets, or minor arrests? | <input checked="" type="radio"/> YES | <input type="radio"/> NO |
| 49 | Have you ever been convicted in any court of the U.S. of a crime and sentenced to imprisonment for a term exceeding one year? If YES, were you incarcerated as a result of that sentence? | YES | <input checked="" type="radio"/> NO |
| 50 | Have you ever been the defendant in any civil court action? | YES | <input checked="" type="radio"/> NO |
| 51 | Have you ever been served with a criminal warrant or subpoena or been requested to appear or testify in any criminal legal hearing? | YES | <input checked="" type="radio"/> NO |
| 52 | Have you ever been awarded, or are you now under suspended sentence, parole or probation, or awaiting any action on charges against you? | YES | <input checked="" type="radio"/> NO |
| 53 | Have you ever been convicted by military court or been the subject of a criminal investigation conducted by a military investigative agency? | YES | <input checked="" type="radio"/> NO |
| 54 | Have you ever been punished under Article 15, UCMJ or Captain's Mast? | YES | <input checked="" type="radio"/> NO |
| 55 | Have you ever been involved in a security violation which resulted in the loss, compromise, or possible compromise of classified material or disclosed classified information to an unauthorized person? | YES | <input checked="" type="radio"/> NO |
| 56 | Have you ever performed any service for (whether compensated or volunteer), or had employment with a foreign country or foreign national? | YES | <input checked="" type="radio"/> NO |
| 57 | Have you ever had access to Information Technology Systems denied or revoked? | YES | <input checked="" type="radio"/> NO |
| 58 | Have you ever illegally or without authorization entered into any information technology system? | YES | <input checked="" type="radio"/> NO |
| 59 | Have you ever illegally or without authorization modified, destroyed, manipulated or denied access to information residing on an information technology system? | YES | <input checked="" type="radio"/> NO |
| 60 | Have you ever willfully removed/introduced hardware, software or media from any information technology system without authorization, when specifically prohibited by rules, procedures, guidelines or regulations? | YES | <input checked="" type="radio"/> NO |

FOR OFFICIAL USE ONLY

113

FOR OFFICIAL USE ONLY

- | | | | |
|----|---|-----|----|
| 61 | Has a request for you to receive a security clearance ever been denied? If so, what were the circumstances? | YES | NO |
| 62 | Have you ever had any problems with your neighbors or other people in your community that required law enforcement intervention? | YES | NO |
| 63 | Is there any incident or situation in your background which might reflect negatively on your suitability for access to sensitive information? | YES | NO |
| 64 | Do you presently cohabitate with a person not your spouse? | YES | NO |
| 65 | Have you ever been discharged or dismissed from the Armed Forces under dishonorable conditions? | YES | NO |

Comments

Add additional pages as needed.

FOR OFFICIAL USE ONLY

FOR OFFICIAL USE ONLY

I have answered all questions to the best of my knowledge and belief. I have not intentionally provided incorrect or misleading information.

Fred Paul Holtz 26 MAR 08
Signature Date

I have personally interviewed the nominee and have witnessed the signatures in Parts I and II of this record of interview. The nominee:

☐ Is nominated for access to SCI

☐ Is not nominated for access to SCI
Reason: _____

☐ Remain in a casual status pending completion of the Single Scope Background Investigation

Name and Title of Individual Conducting Interview Date

FOR OFFICIAL USE ONLY

SCI INTERVIEW FORM DATA


Item 8. The following friends and/or relatives reside in foreign countries.

1. (b) (6). Frankfurt, Germany. (b) (6) is my aunt (wife of my late biological father). I see them about once a year, and have sporadic phone and e-mail contact of a social nature.
2. (b) (6). Frankfurt, Germany. (b) (6) is my first cousin (oldest son of (b) (6)). I see them about once a year, and have sporadic phone and e-mail contact of a social nature.
3. (b) (6). Frankfurt, Germany. (b) (6) is my first cousin (son of (b) (6)). I see him, his wife (b) (6), and their five-year-old son (b) (6) about once a year, and have sporadic phone and e-mail contact of a social nature.
4. (b) (6). Frankfurt, Germany. (b) (6) is my first cousin (daughter of (b) (6)). I see her, her husband (b) (6) (who has a different last name, which I do not know), and their 17-year-old son (b) (6) about once a year, and have sporadic phone and e-mail contact of a social nature.
5. (b) (6) Spay, Germany. (b) (6) is the widow of my biological father. I see her about once every 1-2 years.
6. (b) (6). Moscow, Russia. (b) (6) is the mother of my wife, (b) (6). I saw (b) (6) three times since 2002: the first time when I visited Moscow with my wife (JUL 02), the next time when she visited us in Maryland in DEC 03, and the most recent time when she visited us on the occasion of our wedding (AUG 07). I have very infrequent e-mail contact with her, maybe 2-3 times per year. (b) (6) (b) (6) Her current residence is (b) (6).
7. (b) (6) Moscow, Russia. My wife (b) (6) father is (b) (6), (b) (6). He currently resides in Moscow. He is employed at the All-Russian Institute of Scientific and Technical Information (VINITI), (b) (6). I do not know his residential address. I have met him only once, in JUL 02 when I visited Moscow with my current wife, (b) (6).

Item 37. I infrequently experimented with marijuana between SEP 04 and JUN 06, while in high school. I never bought or sold any illegal drugs (to include marijuana), nor did I ever use any illegal drugs other than marijuana.

Item 47. I was arrested for stalking in MAY 01 by the Arlington, VA police. My former girlfriend, who had originally filed the charges, later dropped them.

Item 48. I have received two speeding tickets: (1) one in Utah, during 1986; (2) one in Maryland, during 1993.


Fred Paul Hoffman

26 MAR 08

110

STANDARD FORM 86 CERTIFICATION (SF 86C)

INSTRUCTIONS: Type or legibly print your answers in ink (If this form is not legible, it will not be accepted). Complete this form referencing information contained in your most recent SF 86 or information disclosed upon the date of your last background investigation. All questions on this form must be answered. Any changes that you make to this form after you sign it must be initialed and dated by you. Under certain limited circumstances, agencies may modify your response(s) consistent with your intent. The United States Criminal Code (title 18, section 1001) provides that knowingly falsifying or concealing a material fact is a felony which may result in fines and/or up to 5 years of imprisonment.

Do not provide information you have already provided on your most recent SF 86. Any "Yes" responses under Block 2 must be explained in Block 3. If additional space is needed, use a blank sheet of paper. Each blank sheet of paper you use must contain your name and SSN at the top of the page. Conclude by certifying the accuracy of your answers in Block 4, Certification. If you have any questions, contact the office that gave you the form, or a Government security officer.

Block 1: Identification		
Full name (last, first, middle, maiden) HOFFMAN FRED PAUL		Social Security Number (SSN)
Place of birth (include City (Country) and State) Oceanside, NY		
Work telephone number (410) 865-1913	Home telephone number (410) 440-9183	E-mail fred.p.hoffman@gmail.com

Block 2: Questions Relating to SF 86

INSTRUCTIONS: The following Questions correlate with your SF 86. If you report **no change** to a Question, place an "X" in the **No** box. If there is a **change**, place an "X" in the **Yes** box. All **Yes** answers must be explained under Block 3, Explanations/Remarks.

Yes	No	
	X	Question 1. Full Name
	X	Question 4. Social Security Number
	X	Question 5. Other Names Used
	X	Question 9. Citizenship
	X	Question 10. Citizenship Information
	X	Question 11. Where You Have Lived
	X	Question 12. Where You Went to School
	X	Question 13. Employment Activities
	X	Question 14. Selective Service Record
	X	Question 15. Military History
	X	Question 17. Marital Status
	X	Question 18. Relatives
X		Question 19. Foreign Contacts
	X	Question 20. Foreign Activities
	X	Question 21. Mental and Emotional Health
	X	Question 22. Police Record
	X	Question 23. Use of Illegal Drugs and Drug Activity
	X	Question 24. Use of Alcohol
	X	Question 25. Investigations and Clearance Record
	X	Question 26. Financial Record
	X	Question 27. Use of Information Technology Systems
	X	Question 28. Involvement in Non-Criminal Court Actions
	X	Question 29. Association Record

STANDARD FORM 86 CERTIFICATION (SF 86C)

Block 3 - Explanations/Remarks

INSTRUCTIONS: Before each answer, identify the SF 86 Question number associated with your answer. For example, if you have had a change of residence, write "Question 11" and list your new address.

See attached narrative

☒ Check this block if additional comments are attached. Place your name and SSN at the top of each page.

☐ Check this block if your SF 86 is attached.

Block 4 - Certification

I certify that the above information includes all changes to my most recent SF 86 or since my last investigation. Changes, if any, are explained under Block 3. My statements on this form, and on any attachments to it, are true, complete, and correct to the best of my knowledge and belief and are made in good faith. I understand that a knowing and willful false statement on this form can be punished by fine or imprisonment or both (18 U.S.C. 1001). I understand that intentionally withholding, misrepresenting, or falsifying information will have a negative affect on my security clearance, employment prospects, or job status up to and including denial or revocation of my security clearance, or my removal and debarment from Federal service.

Signature (Sign in Ink)

[Handwritten Signature]

Date (mm/dd/yyyy)

03/05/2012

Enter your Social Security Number before going to the next page

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (currently residing at my home)

City/Country: _____

Has the relative or associate ever visited the U.S.? Yes

Port of Entry: (b) (6)

When and for how long? Emigrated in AUG 91

Frequency? _____

Most recent visit? _____

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Unemployed

Initial contact date/circumstances? FEB 10

Frequency of interviewee's contact with relative or associate? See attachment

When/where did the last contact occur? (letter, phone call, in person, etc.) Currently in contact

Interviewee's reaction to any undue interest in his/her job? He has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Father of my stepson

Would the relative's or associate's welfare and safety be of significant concern (hostage situation)? No

Interviewee's reaction to such a situation? Work through U.S. authorities (only)

Remarks: See attached sheet

Security Representative's Signature and Date

(b) (6)

Additional sheets for Remarks, as needed.)

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

119

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: Columbia, MD 21045-2895

Has the relative or associate ever visited the U.S.? YES

Port of Entry: (b) (6)

When and for how long? Arrived 14 SEP 11; permanently resides with my wife and me

Frequency? Daily

Most recent visit? Permanently resides with us

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Elementary school student (5th grade)

Initial contact date/circumstances?

Frequency of interviewee's contact with relative or associate? My wife adopted (b) (6)

(b) (6) came to U.S. on an IN-4 visa, and has a Green Card.

When/where did the last contact occur? (letter, phone call, in person, etc.) Daily contact at home

Interviewee's reaction to any undue interest in his/her job? She never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) Parental support

Interviewee's bond with, affection for, or obligation to the relative or associate? (Adoptive) father

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? Same as that of any parent

Remarks: We are now in the process of conducting the U.S. adoption, after which time she will have U.S. citizenship. Expect to accomplish this in 2012

(b) (6) (Additional sheets for Remarks, as needed.)

Security Representative's Signature and Date

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? Yes

Port of Entry: (b) (6)

When and for how long? Unknown

Frequency? N/A

Most recent visit? Unknown

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Retiree (housewife)

Initial contact date/circumstances? MAR 87, met for first time in Maastricht, the Netherlands

Frequency of interviewee's contact with relative or associate? E-mail or telephonic contact approx 6 times per year;
last seen in Hamburg, JUN 2008

When/where did the last contact occur? (letter, phone call, in person, etc.) Hamburg, JUN 08

Interviewee's reaction to any undue interest in his/her job? She has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist

Remarks: None

(b) (6)

Use additional sheets for Remarks, as needed.)

Security Representative's Signature and Date: (b) (6)

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

"NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6) Date of Interview: 23 March 2002

Name of Relative or Associate: (b) (6)

Relationship: (b) (6) Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? Do not know Port of Entry: N/A

When and for how long? Do not know

Frequency? N/A

Most recent visit? N/A

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Retiree

Initial contact date/circumstances? JUL 97, face-to-face meeting in Germany

Frequency of interviewee's contact with relative or associate? Once a year, when I am in Germany for Reserve duty.

When/where did the last contact occur? (letter, phone call, in person, etc.) 3 JUL 11, family gathering in Frankfurt

Interviewee's reaction to any undue interest in his/her job? He has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist

Remarks: None

(b) (6) sheets for Remarks, as needed.)

Security Representative's Signature and Date: (b) (6)

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

122

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? YES

Port of Entry: (b) (6)

When and for how long? Once, during early 2000's.

Frequency? One trip

Most recent visit? Early 2000's for a week or so

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Pensioner (housewife)

Initial contact date/circumstances? JUL 97, face-to-face meeting in Germany

Frequency of interviewee's contact with relative or associate? Once a year, when I am in Germany for Reserve duty.

When/where did the last contact occur? (letter, phone call, in person, etc.) 3 JUL 11, family gathering in Frankfurt

Interviewee's reaction to any undue interest in his/her job? She has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist

Remarks: None

(b) (6) additional sheets for Remarks, as needed.)
Security Representative's Signature and Date: _____

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 552a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

123

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? YES

Port of Entry: (b) (6)

When and for how long? Once every 2-3 years, on either business or vacation

Frequency? See above

Most recent visit? Early 2011, vacation in Florida and California (did not see him during trip)

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Project Manager, Dorma (private company specializing in custom doors)

Initial contact date/circumstances? JUL 97, face-to-face meeting in Germany

Frequency of interviewee's contact with relative or associate? Once or twice a year I see him when I come to Berlin for Reserve duty. (He travels to Berlin often on business.)

When/where did the last contact occur? (letter, phone call, in person, etc.) 3 JUL 11, family gathering in Frankfurt

Interviewee's reaction to any undue interest in his/her job? He has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist

Remarks: None

(b) (6) additional sheets for Remarks, as needed.)

Security Representative's Signature and Date

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

124

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? YES

Port of Entry: (b) (6)

When and for how long? Once every few years on vacation

Frequency? See above

Most recent visit? Early 2011, vacation in Florida and California (did not see her during trip)

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Admin support at local doctor's office

Initial contact date/circumstances? JUL 97, face-to-face meeting in Germany

Frequency of interviewee's contact with relative or associate? Once every 2-3 years, usually when I travel to Germany on Reserve duty or on vacation

When/where did the last contact occur? (letter, phone call, in person, etc.) 3 JUL 11, family gathering in Frankfurt

Interviewee's reaction to any undue interest in his/her job? She has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist

Remarks: None

(b) (6) Additional sheets for Remarks, as needed.)

Security Representative's Signature and Date

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

125

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? Don't know

Port of Entry: (b) (6)

When and for how long? Don't know

Frequency? Don't know

Most recent visit? Don't know

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Engineer with a German company (NFI)

Initial contact date/circumstances? JUL 97, face-to-face meeting in Germany

Frequency of interviewee's contact with relative or associate? Once every 2-3 years, usually when I travel to Germany on Reserve duty or on vacation

When/where did the last contact occur? (letter, phone call, in person, etc.) 3 JUL 11, family gathering in Frankfurt

Interviewee's reaction to any undue interest in his/her job? He has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship

Would the relative's or associate's welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist

Remarks: None

Security Representative's Signature and Date

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

126

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? Don't know

Port of Entry: (b) (6)

When and for how long? Don't know

Frequency? Don't know

Most recent visit? Don't know

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Medical doctor at a Frankfurt-area hospital

Initial contact date/circumstances? 2000, face-to-face meeting in Germany

Frequency of interviewee's contact with relative or associate? Once every 2-3 years, usually when I travel to Germany on Reserve duty or on vacation

When/where did the last contact occur? (letter, phone call, in person, etc.) 3 JUL 11, family gathering in Frankfurt

Interviewee's reaction to any undue interest in his/her job? He has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist

Remarks: None

Security Representative's Signature and Date: (b) (6) (Additional sheets for Remarks, as needed.)

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

"NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

127

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? No

Port of Entry: (b) (6)

When and for how long? N/A

Frequency? N/A

Most recent visit? N/A

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Student (born in 2002)

Initial contact date/circumstances? AUG 2005, face-to-face meeting in Germany

Frequency of interviewee's contact with relative or associate? Once every few years, usually when I travel to Germany on Reserve duty or on vacation

When/where did the last contact occur? (letter, phone call, in person, etc.) 3 JUL 11, family gathering in Frankfurt

Interviewee's reaction to any undue interest in his/her job? He has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship

Would the relative's or associate's welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist

Remarks: None

(b) (6) sheets for Remarks, as needed.)

Security Representative's Signature and Date: (b) (6)

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

128

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? No

Port of Entry: (b) (6)

When and for how long? N/A

Frequency? N/A

Most recent visit? N/A

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

None; born in 2007 (?)

Initial contact date/circumstances? AUG 2009, face-to-face meeting in Germany

Frequency of interviewee's contact with relative or associate? Once every few years, usually when I travel to Germany on Reserve duty or on vacation

When/where did the last contact occur? (letter, phone call, in person, etc.) 3 JUL 11, family gathering in Frankfurt

Interviewee's reaction to any undue interest in his/her job? He has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist

Remarks: None

(b) (6)

Additional sheets for Remarks, as needed.)

Security Representative's Signature and Date

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

12/1

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.
Interviewee's SSAN: (b) (6) Date of Interview: 23 March 2012
Name of Relative or Associate: (b) (6)
Relationship: (b) (6) Citizenship: (b) (6)
Current Address: (b) (6)
City/Country: (b) (6)
Has the relative or associate ever visited the U.S.? Do not know Port of Entry: (b) (6)
When and for how long? Do not know
Frequency? N/A
Most recent visit? N/A
What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)
Attorney with local government
Initial contact date/circumstances? JUL 97, face-to-face meeting in Germany
Frequency of interviewee's contact with relative or associate? Once a year, when I am in Germany for Reserve duty.
When/where did the last contact occur? (letter, phone call, in person, etc.) 3 JUL 11, family gathering in Frankfurt
Interviewee's reaction to any undue interest in his/her job? He has never asked me anything about my work.
Does or would the interviewee provide significant support? (If so, what type?) No
Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship
Would the relative's or associate's welfare and safety be of significant concern (hostage situation)? YES
Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist
Remarks: None
(b) (6) Additional sheets for Remarks, as needed.)
Security Representative's Signature and Date: (b) (6)

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? Do not know

Port of Entry: (b) (6)

When and for how long? Do not know

Frequency? N/A

Most recent visit? N/A

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Unknown position with TRW in Frankfurt, Germany

Initial contact date/circumstances? JUL 97, face-to-face meeting in Germany

Frequency of interviewee's contact with relative or associate? Once a year, when I am in Germany for

Reserve duty.

When/where did the last contact occur? (letter, phone call, in person, etc.) 3 JUL 11, family gathering in Frankfurt

Interviewee's reaction to any undue interest in his/her job? She has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist

Remarks: None

(b) (6) sheets for Remarks, as needed.)

Security Representative's Signature and Date: (b) (6)

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

231

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 28 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? Do not know

Port of Entry: (b) (6)

When and for how long? Do not know

Frequency? N/A

Most recent visit? N/A

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

College student

Initial contact date/circumstances? JUL 97, face-to-face meeting in Germany

Frequency of interviewee's contact with relative or associate? Once a year, when I am in Germany for Reserve duty.

When/where did the last contact occur? (letter, phone call, in person, etc.) 3 JUL 11, family gathering in Frankfurt

Interviewee's reaction to any undue interest in his/her job? He has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Fairly close relationship

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? I would work through U.S. authorities (only) to assist

Remarks: None

(b) (6)

Additional sheets for Remarks, as needed.)

Security Representative's Signature and Date

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

122

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6)

Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? Yes

Port of Entry: (b) (6)

When and for how long? mid-1990s

Frequency? Only one trip that I know of

Most recent visit? mid-1990s

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Artificial Intelligence researcher with Russian Academy of Sciences

Initial contact date/circumstances? JUL 01 (5-day visit to Moscow with my then-fiance)

Frequency of interviewee's contact with relative or associate? Very infrequent (less than once a year by phone)

When/where did the last contact occur? (letter, phone call, in person, etc.) DEC 10, saw during visit to Moscow with wife

Interviewee's reaction to any undue interest in his/her job? He has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Father-in-law

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? Yes

Interviewee's reaction to such a situation? Work through U.S. authorities (only)

Remarks: Individual speaks only Russian, which extremely limits my communication with him

Security Representative's Signature and Date: (b) (6) sheets for Remarks, as needed.)

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9387. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

B3

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

106

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6) Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6)

Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? YES

Port of Entry: (b) (6)

When and for how long? Most recent visit AUG 06, for three weeks

Frequency? Has traveled to U.S. in 2006, 2001

Most recent visit? AUG 06

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)

Scientist, Institute of Organoelement Compounds, Russian Academy of Sciences

Initial contact date/circumstances? JUL 01 (5-day visit to Moscow with my then-fiance)

Frequency of interviewee's contact with relative or associate? Last face-to-face contact in JUN 11, in Berlin, Germany. E-mail contact averages around 12 per year.

When/where did the last contact occur? (letter, phone call, in person, etc.) 17 JAN 12 (e-mail)

Interviewee's reaction to any undue interest in his/her job? She never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Mother-in-law

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? Work through U.S. authorities (only)

Remarks: See attached visa invitation for additional info. Subject has multiple-entry visa for U.S.

Security Representative's Signature and D

"Foreign Relative or Associate Interview," Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

FOREIGN RELATIVE OR ASSOCIATE INTERVIEW

Interviewee's Name: HOFFMAN, Fred P.

Interviewee's SSAN: (b) (6) Date of Interview: 23 March 2012

Name of Relative or Associate: (b) (6)

Relationship: (b) (6) Citizenship: (b) (6)

Current Address: (b) (6)

City/Country: (b) (6)

Has the relative or associate ever visited the U.S.? YES Port of Entry: (b) (6)

When and for how long? Once, in 2000 or 2001 (before I knew him)

Frequency? I believe he has only visited U.S. one time

Most recent visit? In 2000 or 2001

What is the relative's or associate's line of work? (If government employee, determine level: local, national, etc.)
Scientist, Institute of Organoelement Compounds, Russian Academy of Sciences

Initial contact date/circumstances? JUL 01 (5-day visit to Moscow with my then-fiance)

Frequency of interviewee's contact with relative or associate? Last face-to-face contact in JUN 11, in Berlin, Germany.

When/where did the last contact occur? (letter, phone call, in person, etc.) JUN 11, met in Berlin, Germany

Interviewee's reaction to any undue interest in his/her job? He has never asked me anything about my work.

Does or would the interviewee provide significant support? (If so, what type?) No

Interviewee's bond with, affection for, or obligation to the relative or associate? Mother-in-law's husband

Would the relative's or associates welfare and safety be of significant concern (hostage situation)? YES

Interviewee's reaction to such a situation? Work through U.S. authorities (only)

Remarks: While I was in Berlin, Germany for three weeks in JUN 11, (b) (6) and his wife (my mother-in-law) visited with me (b) (6)

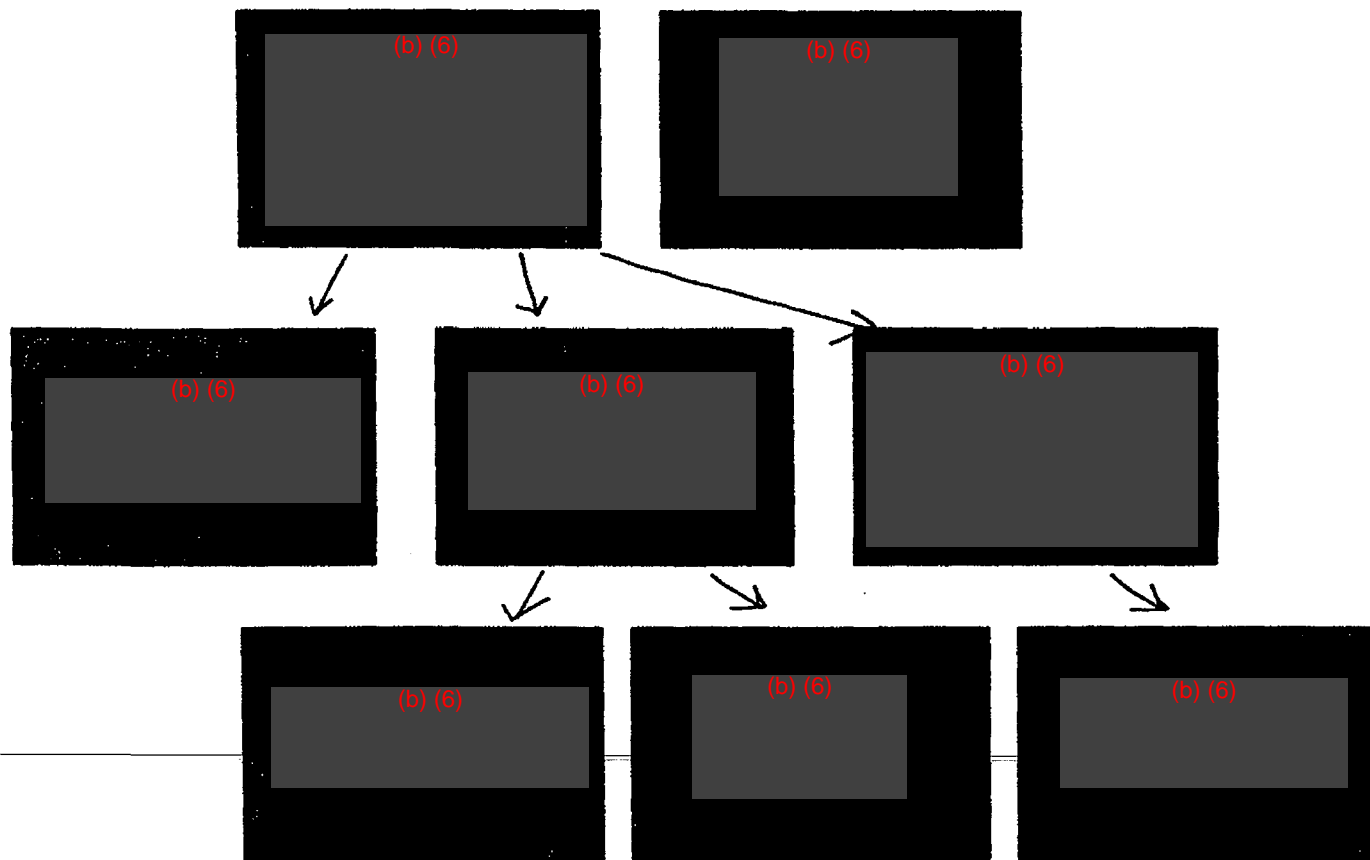
Security Representative's Signature and Date: (b) (6)

"Foreign Relative or Associate Interview" Jan 1998 PREVIOUS EDITIONS ARE OBSOLETE

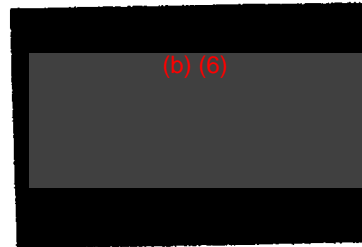
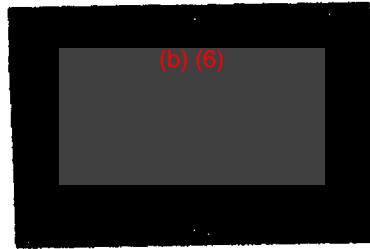
*NOTICE: The Privacy Act, 5 U.S.C. 522a, requires that federal agencies inform individuals, at the time information is solicited from them, whether the disclosure is mandatory or voluntary, by what authority such information is solicited, and what uses will be made of the information. You are hereby advised that Authority for soliciting your Social Security Account Number (SSAN) is Executive Order 9397. Your SSAN will be used to identify you precisely when it is necessary to 1) certify that you have access to the information indicated above, or 2) determine that your access to the information indicated has been terminated.

(CLASSIFY AS APPROPRIATE WHEN FILLED IN)

136



(b) (6) (deceased U.S. citizen) and (b) (6) are siblings.
The three children of (b) (6) and (b) (6) are (b) (6) and (b) (6).
The two children of (b) (6) are (b) (6) and (b) (6).
The son of (b) (6) and (b) (6) is (b) (6).



My wife, (b) (6), is a naturalized U.S. citizen.
Her biological parents are (b) (6) and (b) (6) (b) (6) parents divorced
20+ years ago and each remarried. The names of their current spouses are shown
above.